

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.weylo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/581,459	06/01/2006	Hans-Joachim Hahnle	291264US0X PCT	2316
22850 7590 12/18/2009 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET			EXAMINER	
			CORDRAY, DENNIS R	
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER
			1791	
			NOTIFICATION DATE	DET HERMALONE
			NOTIFICATION DATE	DELIVERY MODE
			12/18/2009	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com Application/Control Number: 10/581,459

Art Unit: 1791

Continuation of No. 11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because:

The amendment after final has been entered because it corrects a grammatical error in Claim 4 and corrects Claim 6 to recite a proper Markush group. A Markush group had been assumed by the Examiner as indicated in the previous Office Action.

Applicant's arguments filed 11/30/2009 have been fully considered but they are not persuasive.

Applicant argues as if Lai et al is being used as an anticipatory reference. It is not. Lai et al teaches an example (Example 12) wherein the vinylamine containing polymer is added at levels of 0.01%, 0.05%. Lai et al also discloses that the vinylamine polymer can comprise free amino units if base hydrolysis is used and protonated amine units (which are cationic) if acid hydrolysis is used, either method of hydrolysis being suitable. Whether or not the hydrolysis is by acid or base, polyvinylamine is known in the art as a weakly cationic polymer due to protonation of the amines in aqueous solution, the amount of protonation being a function of the pH. Acid hydrolysis provides an environment enriched in hydrogen ions, thus significantly increasing the amount of protonated amines. One of ordinary skill in the art would have seen the polyvinylamine of Lai et al as a cationic polymer containing vinylamine units.

The disclosure of Lai et al can be considered in alternative ways. On one hand, an amount of polyvinylamine well within the claimed range and an amount of touching one endpoint of the claimed range are used.

Art Unit: 1791

On the other hand, while the preferred range of Lai et al is 0.1 to 0.2 wt-%, the more broadly disclosed range is 0.05 to 0.5 wt-%, which touches but does not overlap the claimed range. In such instances, ".. a prima facie case of obviousness exists where the claimed ranges and prior art ranges do not overlap but are close enough that one skilled in the art would have expected them to have the same properties. Titanium Metals Corp. of America v. Banner, 778 F.2d 775, 227 USPQ 773 (Fed. Cir. 1985) (Court held as proper a rejection of a claim directed to an alloy of "having 0.8% nickel, 0.3% molybdenum, up to 0.1% iron, balance titanium" as obvious over a reference disclosing alloys of 0.75% nickel, 0.25% molybdenum, balance titanium and 0.94% nickel, 0.31% molybdenum, balance titanium.)." (See MPEP 2144.05). One of ordinary skill in the art would have expected substantially the same properties in a paper made using polyvinylamine at a level of 0.05% vs a level of slightly less than 0.05% and lying within the claimed range.

Applicant's argument that Lai et al teaches to one of ordinary skill in the art that using an amount of polyvinylamine less than the disclosed range is no better than adding a conventional poly(vinylamide) or adding no polymer at al (pp 6-8) is the argument of counsel and cannot take the place of evidence in the record. One of ordinary skill would not have expected an abrupt cessation of benefit as the polyvinylamine addition level drops to less than 0.05%, but rather a gradual change. Furthermore, as discussed in the paragraph immediately preceding, little if any change would have been expected between an addition level of 0.05% and a value slightly less than 0.05%.

, ppileation control rumbe

Art Unit: 1791

Regarding the combination of Hartmann in view of Utecht et al, both references disclose vinvlamine containing polymers that can be cationic and thus have substantially the same structure as the claimed polymers. Utecht et al teaches that the polymers are used as retention, drainage and flocculation aids in papermaking (added in amounts from 0.01 to 0.1% by weight based on dry fibers) as well as fixing agents for contraries and as emulsifiers for preparing filler slurries. Retention aids are used to retain non-fibrous additives, such as fillers in paper. Utecht et al discloses suitable fillers for papermaking include clay, chalk, titanium dioxide and kaolin. One of ordinary skill in the art would have expected the polymers of Utecht et al to function as retention aids for any of the disclosed fillers. The polymers of Hartmann, which are similar although not exactly the same as those of Utecht et al. are disclosed generally as drainage and retention aids for papermaking, with a specific example of using the polymers with a kaolin filler. One of ordinary skill in the art would have expected the polymers of Hartmann to also work as retention aids with other commonly used fillers. such as those disclosed by Utecht et al.

Regarding the amounts of polymer used by Hartmann in the disclosed example, a silmilar discussion applies as used above with Lai et al. In addition, Utecht et al teaches using similar polymers in amounts as low as 0.01%, which lies within the claimed range. One of ordinary skill in the art would have realized that retention and drainage aids can be used in a range of addition amounts rather than the specific addition used in the example of Hartmann and would have turned to other art disclosing

Application/Control Number: 10/581,459 Page 5

Art Unit: 1791

similar polymers (e.g.-Utecht et al) guidance. Obtaining the claimed ash retention would have been obvious for reasons given in the previous Final Office Action.

The outstanding rejections are maintained.

/Eric Hug/

Primary Examiner, Art Unit 1791